

**BEST AVAILABLE COPY**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Merton Bernfield and Ofer Reizes

Serial No: 08/965,356

Art Unit: 1614

Filed: November 6, 1997

Examiner: Not yet assigned

For: **METHODS AND REAGENTS FOR REGULATING OBESITY**

Assistant Commissioner for Patents  
Washington, D.C. 20231

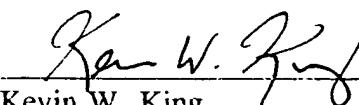
**SECOND REQUEST FOR A CORRECTED FILING RECEIPT**

Sir:

Applicants respectfully request issuance of a corrected filing receipt in the above-identified application to show Ofer Reizes, Newton, Massachusetts, as an applicant.

Enclosed are copies of the Express Mail Transmittal Letter For Patent Application with Certificate of Mailing; the unexecuted Declaration of Inventorship originally filed on November 6, 1997; the executed Declaration of Inventorship filed on May 5, 1998; the Official Filing Receipt (with corrections noted in red); and the returned postcard indicating receipt by the Patent Office of the documents mailed November 6, 1997.

Respectfully submitted,

  
\_\_\_\_\_  
Kevin W. King  
Reg. No. P-42,737

Date: June 12, 1998  
ARNALL GOLDEN & GREGORY, LLP  
2800 One Atlantic Center  
1200 West Peachtree Street  
Atlanta, Georgia 30309-3450  
404/873-8596  
404/873-8597 (fax)

U.S.S.N.: 08/965,356

Filed: November 6, 1997

SECOND REQUEST FOR A CORRECTED FILING RECEIPT



**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

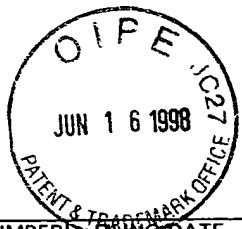
I hereby certify that this SECOND REQUEST FOR A CORRECTED FILING RECEIPT, along with any paper referred to as being attached or enclosed, is being deposited with the United States Postal Service on the date shown below with sufficient postage as first-class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

A handwritten signature in cursive ink, appearing to read "Eva Mukasa".

Eva Mukasa

Date: June 12, 1998

FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

CMCC533  
App & PTO Right

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
08/965,356	11/06/97	1614	\$1,064.00	CMCC533	16	25	5

PATREA L PABST  
ARNOALL GOLDEN & GREGORY LLP  
2800 ONE ATLANTIC CENTER  
1201 WEST PEACHTREE STREET  
ATLANTA GA 30309-3450

Receipt is acknowledged of this patent application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s) MERTON BERNFIELD, BOSTON, MA.; OFER REIZES, NEWTON, MA.

CONTINUING DATA AS CLAIMED BY APPLICANT-  
PROVISIONAL APPLICATION NO. 60/030,758 11/06/96

FOREIGN FILING LICENSE GRANTED 03/02/98  
TITLE  
METHODS AND REAGENTS FOR REGULATING OBESITY

PRELIMINARY CLASS: 514

CMCC533 11/06/97  
GROUP 100  
DRAFT

MAY 20 1998

DSH  
5-28-98



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Merton Bernfield and Ofer Reizes

Serial No: Express Mail Label No.  
EM181252680US

Filed: November 6, 1997 Date of Deposit  
November 6, 1997

For: METHODS AND REAGENTS FOR REGULATING OBESITY

BOX PATENT APPLICATION

Assistant Commissioner

for Patents

Washington, D.C. 20231

EXPRESS MAIL TRANSMITTAL LETTER  
FOR PATENT APPLICATION AND CERTIFICATE OF MAILING

Sir:

Pursuant to 35 U.S.C. § 21(a) as amended by Public Law 97-247 and 37 C.F.R.

§ 1.10, Merton Bernfield and Ofer Reizes enclose for filing the attached patent application entitled "Methods and Reagents for Regulating Obesity", which claims benefit of U.S. Serial No. 60/030,758 entitled "Methods and Reagents for Regulating Obesity" filed November 6, 1996, by Merton Bernfield and Ofer Reizes. The application includes 1 page of Abstract, 36 pages of Specification, 2 pages of claims, 16 sheets of informal drawings, and an unexecuted Declaration. A check in the amount of \$532.00 to cover the filing fee is also enclosed. The executed Declaration, Assignment and Verified Statement Claiming Small Entity Status will be submitted shortly.

The Commissioner is hereby authorized to charge our deposit order account no. 01-2507 in the amount of \$532.00, which represents the difference between the filing fee for a large entity and a small entity.

"Methods and Reagents for Regulating Obesity"

Filed: November 6, 1997

By: Merton Bernfield and Ofer Reizes

EXPRESS MAIL TRANSMITTAL LETTER FOR PATENT APPLICATION

This application is being filed on November 6, 1997, by mailing the application to  
BOX PATENT APPLICATION, Assistant Commissioner for Patents, Washington, D.C.  
20231 via the United States Postal Service "Express Mail Post Office to Addressee" Service  
under 37 C.F.R. § 1.10. The Express Mail Label No. appears in the heading of this paper  
which is attached to the application papers pursuant to 37 C.F.R. § 1.10(B).

The Commissioner is hereby authorized to charge any fees which may be required, or  
credit any overpayment to Account No. 01-2507. To facilitate this process, applicants have  
enclosed a duplicate of this letter.

All correspondence concerning this application should be mailed to:

Patrea L. Pabst, Esq.  
ARNALL GOLDEN & GREGORY, LLP  
2800 One Atlantic Center  
1201 West Peachtree Street  
Atlanta, GA 30309-3450

Respectfully submitted,



---

Patrea L. Pabst  
Reg. No. 31,284

Date: November 6, 1997

ARNALL GOLDEN & GREGORY, LLP  
2800 One Atlantic Center  
1201 West Peachtree Street  
Atlanta, GA 30309-3450  
(404) 873-8794

"Methods and Reagents for Regulating Obesity"

Filed: November 6, 1997

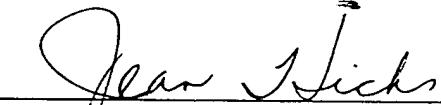
By: Merton Bernfield and Ofer Reizes

EXPRESS MAIL TRANSMITTAL LETTER FOR PATENT APPLICATION

CERTIFICATE OF MAILING UNDER 37 CFR § 1.10

I hereby certify that this Express Mail Transmittal Letter for Patent Application and any documents referred to as attached therein are being deposited with the United States Postal Service on this date, November 6, 1997, in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR § 1.10, Mailing Label Number EM181252680US, addressed to BOX PATENT APPLICATION, Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: November 6, 1997

  
\_\_\_\_\_  
Jean Hicks


  
**DECLARATION FOR PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below), or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**Methods and Reagents for Regulating Obesity**

the specification of which (check one)

is attached hereto

was filed on November 6, 1997  
as application Serial No. \_\_\_\_\_

and was amended on: \_\_\_\_\_  
(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)	Priority Claimed
(Number)	(Country)
(Day/Month/Year Filed)	
(Number)	(Country)
(Day/Month/Year Filed)	
(Number)	(Country)
(Day/Month/Year Filed)	

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

**Provisional Application Number**

60/030,758

(Number)

**Filing Date**

November 6, 1996

(Day/Month/Year Filed)

(Number)

(Day/Month/Year Filed)

(Number)

(Day/Month/Year Filed)

Tide. - Methods and Reagents for Regulating Obesity  
By: Merton Bernfield and Ofer Reizes  
Filed: November 6, 1997  
DECLARATION

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or PCT international application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of the application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.)	(Filing Date)	Status (patented, pending, abandoned)

As named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Patrea L. Pabst	31,284
Suzanne F. Seavello	37,933
David S. Bradin	37,783
Collen A. Beard	38,824
Robert A. Hodges	41,074

Send Correspondence to: Patrea L. Pabst, Esq.  
ARNALL GOLDEN & GREGORY, LLP  
2800 One Atlantic Center  
1201 W. Peachtree Street  
Atlanta, GA 30309-3450

Direct telephone calls to: Patrea L. Pabst (404) 873-8794

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor Merton Bernfield

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence 25 Brimmer Street, Boston, Massachusetts 02158

Citizenship United States

Post Office Address 25 Brimmer Street, Boston, Massachusetts 02158

Title: "Methods and Reagents for Regulating Obesity"

By: Merton Bernfield and Ofer Reizes

Filed: November 6, 1997

DECLARATION

Full name of second inventor Ofer Reizes

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence 246 Watertown Street, Newton Massachusetts 02158

Citizenship United States

Post Office Address 246 Watertown Street, Newton, Massachusetts 02158

Full name of third inventor \_\_\_\_\_

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence \_\_\_\_\_

Citizenship \_\_\_\_\_

Post Office Address \_\_\_\_\_

Full name of fourth inventor \_\_\_\_\_

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence \_\_\_\_\_

Citizenship \_\_\_\_\_

Post Office Address \_\_\_\_\_

Full name of fifth inventor \_\_\_\_\_

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence \_\_\_\_\_

Citizenship \_\_\_\_\_

Post Office Address \_\_\_\_\_

Full name of sixth inventor \_\_\_\_\_

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence \_\_\_\_\_

Citizenship \_\_\_\_\_

Post Office Address \_\_\_\_\_



## DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below), or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Methods and Reagents for Regulating Obesity

the specification of which (check one)

is attached hereto

was filed on November 6, 1997  
as application Serial No. 08/965.356

and was amended on: \_\_\_\_\_

(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)	Priority Claimed
(Number)	(Country)
(Number)	(Country)
(Number)	(Country)

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

Provisional Application Number

Filing Date

60/030,758

(Number)

November 6, 1996

(Day/Month/Year Filed)

(Number)

(Day/Month/Year Filed)

(Number)

(Day/Month/Year Filed)

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or PCT international application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of the application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.)	(Filing Date)	Status (patented, pending, abandoned)

As named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Patrea L. Pabst	31,284
David S. Bradin	37,783
Collen A. Beard	38,824
Robert A. Hodges	41,074
Kevin W. King	P-42,737

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Direct telephone calls to: Patrea L. Pabst (404) 873-8794

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Full name of sole or first inventor Merton Bernfield

Inventor's signature Merton Bernfield

Date 4-2-98

Residence 25 Brimmer Street, Boston, Massachusetts 02158

Citizenship United States

Post Office Address 25 Brimmer Street, Boston, Massachusetts 02158

Full name of second inventor Ofer Reizes  
Inventor's signature Ofer Reizes Date 9/7/98  
Residence 246 Watertown Street, Newton Massachusetts 02158  
Citizenship United States  
Post Office Address 246 Watertown Street, Newton, Massachusetts 02158



The "Received" stamp of the Patent Office imprinted hereon acknowledges the filing of:

Applicant: Merton Bernfield and Ofer Reizes

Serial & Docket No. 20027/58

Filed: November 6, 1997 CMCC 533

Papers Submitted:

New Patent Application entitled "Methods and Reagents for Regulating Obesity" (including 1 page abstracts, 36 pages specifications, 2 pages claims, 16 pages drawings (informal)) unexecuted Declaration, Express Mail Transmittal letter for Patent Application, a Certificate of Mailing (in duplicate), check in the amount of \$532.00, Fee Sheet (in duplicate).

70110 U.S. PTO  
08/965356

Date: November 6, 1997

11/06/97

By: Patrea L. Pabst, Reg. No. 31,284